



11-20-06

GP1633
JFC

Atty. Dkt. No. 036481-0135

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joel R. Haynes et al.
Title: Adjuvanted Genetic Vaccines
Appl. No.: 09/433,777
Filing Date: 11/3/1999
Examiner: A. M. S. Wehbe
Art Unit: 1633
Confirmation Number: 2990

| | |
|--|-------------------|
| CERTIFICATE OF EXPRESS MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | |
| EL96356440505 | 11/17/06 |
| (Express Mail Label Number) | (Date of Deposit) |
| Charles J. Schreck | |
| (Printed Name) | |
| Charles J. Schreck | |
| (Signature) | |

AMENDMENT TRANSMITTAL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.

☐ Assertion of Small Entity status is enclosed.

☒ The fee required for additional claims is calculated below:

| | Claims As Amended | | Previously Paid For | | Extra Claims Present | | Rate | | Additional Claims Fee |
|---------------|-------------------------|---|------------------------|---|----------------------------|---|---------|---|--------------------------|
| Total Claims: | 28 | - | 43 | = | 0 | x | \$50.00 | = | \$0.00 |

| | | | | | | | | | |
|--|---|---|---|---|---|---|----------|---|--------|
| Independent | 2 | - | 4 | = | 0 | x | \$200.00 | = | \$0.00 |
| Claims: | | | | | | | | | |
| First presentation of any Multiple Dependent Claims: | | | | | | + | \$360.00 | = | \$0.00 |
| CLAIMS FEE TOTAL | | | | | | | | = | \$0.00 |

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| | | |
|--|------------|--------|
| <input type="checkbox"/> Extension for response filed within the first month: | \$120.00 | \$0.00 |
| <input type="checkbox"/> Extension for response filed within the second month: | \$450.00 | \$0.00 |
| <input type="checkbox"/> Extension for response filed within the third month: | \$1,020.00 | \$0.00 |
| <input type="checkbox"/> Extension for response filed within the fourth month: | \$1,590.00 | \$0.00 |
| <input type="checkbox"/> Extension for response filed within the fifth month: | \$2,160.00 | \$0.00 |
| EXTENSION FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> Statutory Disclaimer Fee under 37 C.F.R. 1.20(d): | \$130.00 | \$0.00 |
| CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above): | | \$0.00 |
| Extension Fees Previously Paid: | | \$0.00 |
| TOTAL FEE: | | \$0.00 |

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

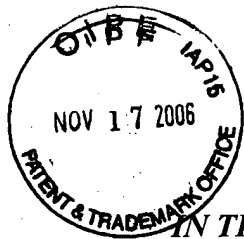
Respectfully submitted,

Date November 17, 2006

By V.S. Mohan Ram

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5483
Facsimile: (202) 672-5399

Richard C. Peet, Reg. No. 35, 792
Vid Mohan-Ram, Reg. No. 55,459



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| <u>Charles J. Schreck</u> | |
| (Printed Name) | |
| <u>Charles J. Schreck</u> | |
| (Signature) | |

AMENDMENT AND REPLY UNDER 37 CFR 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Raw Sequence Listing Error Report dated August 29, 2006, concerning the above-referenced patent application.

Amendments to the Specification begin on page 2 of this document.

Remarks/Arguments begin on page 8 of this document.

Please amend the application as follows: